

IDN ccTLD Fast Track Program Proposed Implementation Details Regarding:

Development and Use of IDN Tables and Character Variants for Second and Top Level Strings (revision 1.0)

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Background - IDN ccTLD Fast Track Process

One of the most significant innovations in the Internet since its inception will be the introduction of top level Internationalized Domain Names (IDNs). These will offer many new opportunities and benefits for Internet users around the world by allowing them to establish and use domains in their native languages and scripts.

The topic of IDNs has been discussed in the ICANN community for a number of years. Initially, development was focused on enabling the introduction of IDNs as registrations under existing TLDs, but focus has shifted to be on broadening the characters repertoire available for use in top level strings as well. The IDN ccTLD Fast Track Process is one process ICANN is working on that will enable such introduction. The process for implementation of new gTLDs will also support Internationalized Top Level domains as part of the new gTLD program.

The initial steps for introduction of IDN ccTLDs were initiated by the ICANN Board at its meeting in Sao Paulo (December 2006). During consultations and discussions of the then joint GAC and ccNSO IDN working group, it became clear that a number of countries and territories have a pressing need for IDN ccTLDs. The IDN ccTLD Fast Track Process is specifically aiming at meeting this near-term demand and at gaining experience with the mechanisms for selection and authorization of such TLDs that can inform the ongoing long-term policy development process.

The implementation of the IDN ccTLD Fast Track Process is underway and it based on the <u>Final Report</u> of the <u>IDNC Working Group</u>, recommending mechanisms to introduce a limited number of non contentious IDN ccTLDs, associated with the ISO 3166-1 two-letter codes. In the <u>initial Draft Implementation Plan</u> for the IDN ccTLD Fast Track Process a number of open issues were identified that require further input from the community and need to be resolved, to complete the implementation.

This paper is part of a series of papers that will serve as proposed solutions on these open issues. The proposed solutions are based on received public comments and input received through meetings, such as those held during the ICANN meeting in Cairo, Egypt, November 3-7, 2008, and in Mexico City, Mexico, 1-6 March 2009. The papers are being posted in conjunction with an updated Draft Implementation Plan to seek further community collaborations in particular before and during the ICANN Meeting in Sydney, Australia, 21-26 June 2009. A public comment period for these papers is made available to enable and document such community discussions. Received comments will then be used to revise the plan in preparation of a Final Implementation Plan.

Please note that this is a proposed discussion draft only. Potential IDN ccTLD requesters should not rely on any of the proposed included details as it remains subject to further consultation and revision.

A full overview of activities related to the IDN ccTLD Fast Track Process and implementation thereof can be viewed here; http://www.icann.org/en/topics/idn/fast-track/

Summary of Key Points in this Paper

- IDN Tables and associated character variants are developed to reduce the
 potentially increased confusion which may otherwise be posed to end users
 by the introduction of IDNs.
- Clarifications and proposed recommendations are made for the process of developing IDN Tables and in particular definition and use of variant characters, in both second and top level strings.
- It is strongly recommended that there be collaboration among communities sharing scripts, or where particular confusability exists between characters across the used languages, to develop IDN Tables and associated policies. This will ensure that all language communities are afforded equal opportunity for making their languages available for domain name registration.
- The proposed recommendations do not change how the development of IDN Tables has been developed previously. The responsibility for table development remains with the TLD registries.
- While a technical solution to manage the necessary aliased introduction of variant TLD strings, the proposed recommendation is to ensure that all variant strings are reserved or blocked for allocation. This is in order to reserve the possibility of allocating variant strings to the appropriate entities.

I. Executive Summary

The topics of IDN Tables and variant characters were discussed in several sessions during the previous ICANN meetings and other meetings. This paper is a revision to a set of proposed implementation details concerning the topic of IDN Tables in a previously posted <u>paper</u>. It provides additional information about IDN Tables, and why they are beneficial to TLD registries that are planning to introduce IDNs (either at the second or top level). The paper describes how an IDN Table can be developed, and a methodology for how ICANN will use the IDN Tables provided by registries for the TLD allocations and management.

In summary:

- 1. An IDN Table is a listing of all characters that a TLD registry is making available for domain name registration.
- 2. A TLD registry can have more than one such table, for example one per language. The table can be based on either: a language; set of languages; or a script (as described in the IDN Guidelines).
- 3. The term "variant" has significance in the considerations of typographic, orthographic, and semantic similarity. It is a subjective concept in all cases; "confusion" is in the eye and mind of the observer.

- 4. Variant characters are two or more characters that are similar in appearance and result in two domain names to be visually confusing. As such the resulting "variant strings" that are obtained by replacing the original characters with the variant characters, are visually indistinctible and, if used for separate purposes, could create user confusion. In some cases this could result in visually similar strings having the same meaning. As such, the term "variant" designates orthographic equivalence on the character level, such as that between "æ" and "ae" in "encyclopædia" and "encyclopædia", but not in the broader sense that pertains to the variant spelling of words, as "encyclopædia" vs. "encyclopedia" or "color" vs. "colour". The IDN Tables that define variant characters are useful because they enable TLD registries to develop registration policies that will reduce the potential for confusion that could result from typographic similarities in domain names.
- 5. Procedures for the development of IDN Tables are proposed in this document. In these procedures applicants are strongly encouraged to collaborate with other TLD Managers when potential confusion might exist with languages of other countries and territories:
 - a. Languages/scripts are sometimes shared across geographic boundaries. In some cases this can cause confusion among the users of the corresponding language or script community.
 - b. Visual confusion can also exist in some instances between different scripts (for example, Greek, Cyrillic and Latin). An IDN Table with cross-dependencies of identified variant characters can limit this confusion in cases when several scripts are used under a TLD.
- 6. ICANN's limited role regarding the development of the IDN Tables will be to provide support to applicants when requested.
- 7. This paper proposes that ICANN will employ all submitted IDN Tables when considering request for top-level strings. The Tables will be used as a guide to determine if requested string would result in confusion with an existing string. The idea is that where user confusion would result from the use of a variant character the applied for string will not be delegated into the root zone.

By publishing this paper ICANN is actively soliciting your comments on this important subject. This feedback will play a key role in shaping final implementation plans, intended for presentation at the ICANN meeting in Sydney (June 2009).

II. IDN Table Definition

An IDN Table is a list of all those characters that a particular TLD registry supports beyond the twenty-six letters of the basic Latin alphabet (a-z), ten digits (0-9), and the hyphen (-). If any characters in a table are considered to be variants of each other, this is indicated next to each character in a variant group. The term "variant" designates orthographic equivalence on the character level, such as that between "æ" and "ae" in "encyclopædia" and "encyclopædia", but not in the broader sense that pertains to the variant spelling of words, as "encyclopædia" vs. "encyclopædia" or "color" vs. "colour".

An IDN Table will typically contain characters that either represent a specific language, or are taken from a specific script without particular reference to any of the languages that are written with it. The term "IDN Table" as it is used here, corresponds to what in

previous contexts was referred to as a "variant table", a "language variant table", a "language table", or a "script table".

IDN Tables can be based on either languages or scripts or sets thereof. Therefore, at least five categories of IDN Tables are possible:

- 1. IDN Table based on one language (e.g. Russian)
- 2. IDN Table based on one script (e.g. Greek)
- 3. IDN Table based on more than one language but one script (e.g., the Hindi, Marathi and Sanskrit (among others) languages, all based on the Devanagari script; or the Arabic script used in several languages such as:

 Arabic, Hausa, Pashto, Persian/Farsi, Punjabi, Sindhi, Urdu, and many more).
- 4. IDN Table based on more than on script but one language (e.g. Japanese language and the Hiragana, Katakana, Kanji, and Romaji scripts).
- 5. IDN Table based on more than one script and more than one language.

Tables are maintained by <u>ICANN's IANA function</u>. In order to provide clear information about a submitted table, a modification has been made to the way the IANA Repository is listing the IDN Tables. The title of a table now holds more information about content. Decision on which category(ies) of IDN Tables should be displayed (that provide clear, complete information and the best protection of registrants) lies solely with the TLD registry. TLD registries will continue to be able to submit more than one IDN Table as they see fit and as always has been the case.

TLD registries are encouraged to review existing IDN Tables and use such if feasible prior to developing their own new IDN Table(s). For that purpose IDN Tables are posted publicly in the <u>IANA IDN Repository</u>.

Expertise in linguistics and orthography is required to determine whether a character should be considered a variant of another character, and the same elements of a given script may be regarded differently from language to language. (Referring again to the example of "æ" and "ae", in an English language table, the former would likely be treated as a variant form of the latter. In a Danish language table, the "æ" would be a separate letter of the alphabet.) The recommendations here do not change that approach.

III. The benefit of having IDN Tables

When the number of characters available for inclusion in domain names was expanded from the 37 characters noted earlier to about 100,000 characters from numerous scripts, the potential for confusion resulting from typographic similarities increased dramatically. Even though a computer can, for example, easily recognize the difference between "a" (Latin), "a" (Greek), and "a" (Cyrillic), the human eye necessarily does not. This difficulty is further increased by differences between fonts, the sizes at which they are displayed, and the time required to process and remember the character used.

To reduce this heightened level of potential confusability, (per the IDNC Final report recommendations) a TLD registry's registration policy for IDNs must include the creation of IDN Table(s); so that a TLD registry's IDN registration policy is based on a clearly defined set of characters. By using similarly structured IDN Tables, TLD registries maintain a comparable basis for indicating which characters are made available for registration,

and the specific terms that apply to characters that are treated as variants of one another.

While the experience in this field is solely with reference to IDN registrations at the second level under existing TLDs, as well as lower-level registrations, the basic concept is applicable to and becomes increasingly important with top-level strings. This ensures that we avoid having confusingly similar strings inserted in the root, in particular confusingly similar strings that are managed by different entities.

Historically IDN Tables have been developed by the TLD registries that offer IDN registrations at the second level. While the IANA registry displays the tables online in a repository to provide a single source of information, ICANN's IANA function does not validate the content of the tables. That said, the tables should fulfill the requirements articulated in the IDN Guidelines and the formatting rules from the IANA IDN Repository Procedure requirements. The IDN Guidelines and IANA IDN Repository Procedures will, in turn, be adjusted as necessary in response to the outcome of the discussion of the present proposal, and its implementation.

Examples of IDN Tables can be viewed in the <u>IANA IDN Repository</u>, which also contains the specific procedures that TLD registries should follow in order to have their table posted in the repository and made public. For the purpose of the IDN ccTLD¹ Fast Track Process and the new gTLD Process, IDN Tables are required to be submitted together with the request or application for the associated TLD string. After the application is evaluated and the string is approved for delegation, the submission of the Table to the IANA repository will take place separately and will not occur until the actual string has been delegated as a TLD in the root zone. However, as a temporary holding place, ICANN will post all received IDN Tables (as part of requests or applications) on a designated area on the ICANN website.

Upon receipt of new IDN Tables ICANN will compare the content of such to already existing tables covering the same language(s) or script(s). If discrepancies exist, ICANN will contact the submitter of the new IDN Table for information about why the tables are different. In such review and if deemed necessary, linguistic expertise can be brought in to review and make a recommendation as to whether either of the tables should be modified.

IV. Issues in the Development of IDN Tables

Depending on the number of characters in an IDN Table, and on which language or script it represents, varying degrees of difficulty will be involved in its development and in identifying the variants it may contain. For example, if a table holds characters from a single script that supports a single language, determining how that speech community regards similarity can be straightforward. However, if the characters in a proposed script is used to write many languages, or if the TLD registry intends to support many languages, it may be more difficult to adequately consider the similar linguistic elements of all those.

Differences among writing systems give rise to situations in which a given script element is used differently from language to language. So, Tables for different language(s) or script(s) might identify different sets of variants. This could confuse or complicate a detailed understanding of variations in orthographic practices. This situation exists in other

¹ The shorthand term "IDN ccTLDs" refers to new top-level domains associated with entries in the ISO 3166-1list.

contexts where written language appears and so must be accepted and dealt with in IDNs. The user community will benefit from collaborative efforts to minimize the potential for confusion. The prototypical contribution to script-development-based policies serving multiple language communities has resulted in the Joint Engineering Team (JET) Guidelines for Internationalized Domain Names (IDN) Registration and Administration for Chinese, Japanese, and Korean, which can be seen at http://www.ietf.org/rfc/rfc3743.txt.

Similar initiatives are under way in language communities sharing other scripts, for example, the <u>Arabic Script IDN Working Group (ASIWG)</u>.

The Arabic script is used widely for a number of languages originating in the Middle-East, Africa, and Asia. Each of these language communities will have its own perspectives on the structuring of its IDN Table. One way to ensure that the interests of all communities are reflected in the way their shared script is manifested in the IDN space, is for the experts in these communities to collaborate in the coordinated development of the table(s), whether it is in development of one IDN Table for the script, or several IDN Tables for one or more languages. Absent this collaboration, there is a risk that unintentional inconsistencies in the way a given element of a script is treated in different language tables in which it appears, will result in confusion the language communities sharing that script.

Another example of a similar initiative in a similarly difficult situation is the more than 20 Indian languages that use about 13 scripts – some of these languages are written with multiple scripts. A common IDN Table, or several IDN Tables prepared in combination, must consider the relevant linguistic elements from all languages sharing a script, or where visual confusability is a factor. This approach will serve to ensure that all Indian languages can be supported while minimizing the prospect for user confusion.

Regardless of the language or script basis, domain names do not always represent dictionary words, and there is nothing intrinsic in a TLD label that indicates the language or script it represents. Thus, attention must be given (through IDN Tables) to the way a script is used for writing other languages that may be similar to other IDNs (as the examples here illustrate). Without creating Tables in a collaborative manner, the language-specific detail adopted by one registry could vary with the variants established by another registry supporting some other language also written in the same script possibly creating confusion for users and registrants.

Usage of IDN Tables and variant characters in domain name registrations

There are a variety of ways to address the existence of variant characters in domain name registrations at the second level. Short descriptions of those that are most common follow. Which approach a TLD registry will take has historically been decided by the TLD registry through its policy making authority. The recommendations in this paper do not change that approach and as such the following is provided for informational purposes only.

- 1. Bulk registrations the characters that are variants will result in the registrant receiving two or more registrations (the variant domain names) for the same prices and automatically as one.
- 2. Blocked registrations the characters that are variants will result in the blocking of the variant domain name(s). A block of a domain name means that it can never be registered.
- 3. Reserved registrations the characters that are variants will result in a reservation Draft—for discussion only—please refer to the disclaimer on the title page of this document.

of the variant domain name(s). A reservation most commonly means that only the registrant can release the reservation and register the domain name in question.

Proposed IDN Table procedure for SLD registration usage

The IDNC Final Report recommendations require that one or several IDN Tables are made available for any IDN ccTLD Fast Track requests. The IDN Guidelines make the same observations for registries wishing to provide IDN support in domain name registrations.

The following proposed procedure is put forward to provide some additional clarifications around how IDN Tables can be developed. The proposal is for all TLD registries (not just IDN ccTLDs) supporting IDNs at the second level.

The primary goal of the following proposal is to ensure that all language communities have an equal opportunity for making their languages available for domain name registration.

- The IDN ccTLD Fast Track requester decides the list characters that will be available for inclusion in SLD labels, seeking at its own discretion the advice and comment from governmental agencies, linguistic experts, and its target community.
- 2. The IDN ccTLD Fast Track requester assesses the extent to which the characters on the resulting list can also be expected to appear in IDN ccTLD requests submitted by other countries or territories.
 - a. If there is no such likelihood, the requester will decide if any characters should be listed as variants in its development of the associated IDN Table(s). (It is recommended that advice be sought from expert linguists that are thoroughly familiar with the language or script).
 - b. If the characters are likely to appear in requests from other TLDs, the requester should coordinate the development of the IDN Tables(s) and the listing of variant characters with the corresponding action in those other countries or territories. This collaboration should decide whether a single character table can be shared or if separate tables are required. This joint effort is the best means to ensure that risk of confusion is reduced. This collaboration should result in a narrative explanation for the general user community of the reasons for any unavoidable ambiguity.
- 3. The requester must be able to determine in Item 2) the other countries or territories with whom to collaborate as part of the Fast Track Process. ICANN will facilitate bringing requesters into contact with bodies having relevant linguistic expertise, if such assistance is needed.

Proposed IDN Table usage for TLD Registrations

The IDNC Final Report recommendations require that one or several IDN Tables are made available for all IDN ccTLD Fast Track requests. The IDN Guidelines make the same observations for registries wishing to provide IDN support in domain name registrations.

The characters and variants presented in an IDN Table for second-level domain registration will also be applied to the top level (and visa-versa). ICANN will use these IDN

Tables when reviewing requests for IDN TLD strings, and requesters are encouraged to consider this carefully when preparing their IDN Tables and selecting their TLD strings.

There will be situations in which an IDN ccTLD requester may have reasonable grounds for wishing to have more than one label for the requested domain, due to use of variant characters and resulting in "variant strings".

Previously ICANN proposed that variant strings could be either allocated or reserved for registration. In order to be allocated it was proposed that the string (i) fulfilled all the string requirements in the Fast Track Process and (ii) that while the strings was inserted as a separate delegation in the root, they needed to be treated as aliased in order to avoid confusion. All other variant staring was proposed to be blocked for allocated as they otherwise would introduce a confusable situation for users.

For the purpose of this paper, aliasing means that, say there are two variant string ".variant" and ".variant. Under aliasing, if a registrant registers 'example.variant' then 'example.variant' would also resolve to the same address, i.e. the two TLDs are considered the same or replaceable.

However, comments on that proposal have indicated that allocation of variant strings would cause technical stability problems for the name space.

The resource record DNAME was originally expected to enable the aliasing functionality in the root zone, as DNAME is being used for this purpose at the second level under various TLDs, however, analysis to date shows that DNAME does not function at the root level. The proposal made by ICANN in the previous version of this paper (as mentioned above) was to delegate the variant strings separately and then require that the TLD manager ensures duplication of the multiple zones. However, the technical complication with this proposal is that while a registry manager can duplicate zone immediately under a TLD, this will not function at lower levels. This would put a requirement upon the registrants (and their sub-domains) to duplicate zone contents at lower levels as well. There is no mechanism to ensuring that this takes place. Unless a technically sound solution is demonstrated to successfully demonstrate aliasing or duplication functionality the variant strings cannot be allocated at this time.

ICANN understands the need expressed in the community for enabling allocation of variant strings, in particular for locations where some users will key in one string and other users will key in the variant string when accessing for example a website. ICANN urges the community to continue to discuss and develop a technical solution that will enable the allocation of variant strings in the root zone in a stable manner. Until then IDN ccTLD Fast Track requesters will need to select one string per script or language only or alternatively wait until a technical solution has been found.

In order to reserve the possibility of allocating variant strings to the appropriate entities, ICANN will ensure that all variant strings are reserved or blocked for allocation for now.

Blocked strings will be considered as "existing strings" when incoming requests are checked for conflicts with existing TLDs. Therefore, any later request for the same string will be denied.

As mentioned in the beginning of this paper, ICANN is actively soliciting comments on this important subject. This feedback will play a key role in shaping final implementation plans, intended for presentation at the ICANN meeting in Sydney (June 2009).