



13 November 2012

Re: Pre-Audit Notification for 2013 Registry Audit

Dear Registry:

ICANN will be conducting contractual compliance audits to assess whether registries and registrars are in compliance with their key obligations under their contracts with ICANN.

The plan is to audit all registrars and registries over a three-year period. One third (1/3) of registrars and registries will be randomly selected for the first year's audit.

If you **do not** receive a Request for Information (RFI) by Monday 26 November 2012, it will mean that your registry TLD has not been selected for the first year's audit and will be audited in year 2 or year 3.

Below are the provisions in the Registry Agreement (RA) that will be audited:

Provision	Registry
3.1.a.i	Implement temporary policies or specs to preserve security and stability
3.1.c.i	Data escrow
3.1.c.ii	Personal data
3.1.c.v	Whois

Audit Program Milestone Dates								
Start								End
<i>Pre-Audit Notification</i>	<i>Request for Info</i>			<i>Audit Phase</i>		<i>Reporting Phase</i>		<i>Remediation</i>
	1 st Notice	2 nd Notice	3 rd Notice	Begin	End	Begin	End	
13 th Nov. 2012	26 Nov. 2012	17 Dec. 2012	28 Dec. 2012	7 Jan. 2013	12 April 2013	15 April 2013	19 April 2013	22 April 2013

**Note:**

During the Audit Phase and the Request for Information Phase, ICANN will follow the 1-2-3 notification process (15 working days, 5 working days, 5 working days). Please note in table above that several additional days have been given between 2nd and 3rd notice due to holidays.

For more information on the audit (including the FAQs, audit process, audit plan and audit scope) please visit:

<http://www.icann.org/en/resources/compliance/audits>

If you have any questions, please contact ICANN via email:
complianceaudit@icann.org.

Thank you in advance for your cooperation.

Sincerely,

ICANN Contractual Compliance