ORGANISATION MONDIALE





WORLD INTELLECTUAL PROPERTY ORGANIZATION

WIPO Arbitration and Mediation Center



January 14, 2004

Dear Dr. Cerf, Dear Dr. Twomey,

I write to inform you about three decisions taken by the Standing Committee on the Law of Trademarks, Industrial Designs and Geographical Indications (SCT) of the World Intellectual Property Organization (WIPO). These decisions relate to the Second WIPO Internet Domain Name Process, and in particular to the protection of country names in the domain name system (DNS).

As you know, the Member States of WIPO have recommended amending the Uniform Domain Name Dispute Resolution Policy to provide protection for country names and the names and acronyms of intergovernmental organizations (IGOs). As stated in my communication of February 21, 2003 with which these recommendations were transmitted to you, the following three issues remained under discussion in the SCT:

i) Whether protection of country names in the DNS should be extended to names by which countries are familiarly or commonly known;

/...

Dr. Vinton G. Cerf Chairman Mr. Paul Twomey President and CEO Internet Corporation for Assigned Names and Numbers (ICANN) 4676 Admiralty Way, Suite 330 Marina del Rey, CA 90292-6601 United States of America

By post and fax: +1 310 823 8649

cc: Mr. Mohamed Sharil Tarmizi Governmental Advisory Committee

Number of pages: 11

13701 2004 17:39 FAX ++41 22 0800 888 550 WIPO ARBITRATION

Dr. Vinton G. Cerf, Chairman Mr. Paul Twomey, President and CEO Internet Corporation for Assigned Names and Numbers (ICANN), Marina del Rey - January 14, 2004

- Whether protection should be granted retroactively to existing registrations of domain names, in which rights may have been acquired; and
- iii) How to address the question of sovereign immunity of States before the courts of other countries in connection with administrative proceedings relating to country names.

The SCT examined these issues at its tenth session on April 28 to May 2, 2003, and its eleventh session on November 10 to 14, 2003, and decided that no recommendations should be made in this regard. The text of these decisions is set out in the Annex to this letter. I also enclose, with the original of this letter, WIPO document SCT/10/5 which formed the basis for the deliberations of the SCT. The full reports on these sessions are available as WIPO documents SCT/10/9 and SCT/11/8 on WIPO's web site at http://www.wipo.int/sct/en/documents/index.htm.

The fact that no further recommendations are being made on the subject does not affect the recommendations made by WIPO's Member States concerning the protection of country names as well as the names and acronyms of IGOs, which were transmitted to you with my communication of February 21, 2003. I understand that the ICANN working group established to analyze the practical and technical aspects of implementing these recommendations, which includes a WIPO staff member, has commenced its work and is expected to report to the ICANN Board at the next ICANN meeting in Rome. Should you need any further information or assistance in this matter, my colleagues and I are at your disposal.

I look forward to our continued cooperation.

Yours sincerely,

Francis Gurry
Deputy Director General
Director

WIPO Arbitration and Mediation Center

ANNEX

Decisions of the WIPO Standing Committee on Trademarks, Industrial Designs and Geographical Indications

WIPO document SCT/10/8 "Summary by the Chair"

"5. The SCT decided to revert to the issues considered in paragraphs 13 and 18 of document SCT/10/5 (The Protection of Country Names in the Domain Name System) at the next meeting of the SCT. In respect of the question of sovereign immunity, it was agreed that a short description of how a *de novo* arbitration mechanism might work should be prepared by the International Bureau. With respect to the issues contained in paragraph 15 of document SCT/10/5, it was agreed that no further action should be taken."

WIPO document SCT/11/7 "Summary by the Chair"

- "5. With regard to the issues considered in paragraph 13 of document SCT/10/5 ("The Protection of Country Names in the Domain Name System"), the SCT decided to inform ICANN that no recommendation would be made to extend protection to names by which countries are familiarly or commonly known.
- 6. In respect of the question of sovereign immunity of States, referred to in paragraph 18 of document SCT/10/5, the SCT decided to inform ICANN that no recommendation would be made to establish a special appeal mechanism by way of *de novo* arbitration."

Ø 004





SCT/10/5

ORIGINAL: English

DATE: March 30, 2003

WORLD INTELLECTUAL PROPERTY ORGANIZATION

GENEVA

STANDING COMMITTEE ON THE LAW OF TRADEMARKS, INDUSTRIAL DESIGNS AND GEOGRAPHICAL INDICATIONS

Tenth Session Geneva, April 28 to May 2, 2003

THE PROTECTION OF COUNTRY NAMES IN THE DOMAIN NAME SYSTEM

Document prepared by the Secretariat

Background

- 1. At the meeting of the WIPO General Assembly in September 2002, a majority of delegations recommended that the Uniform Domain Name Dispute Resolution Policy (UDRP) be amended to provide protection for country names in the Domain Name System (DNS). It was noted, however, that the following issues in particular warranted further discussion: (1) the list to be relied upon to identify the names of countries which would benefit from the protection envisaged; (2) the extension of the deadline for the notification to the Secretariat of names by which countries are commonly or familiarly known; and (3) how to deal with acquired rights. The General Assembly decided that discussions should be continued in the Standing Committee on the Law of Trademarks, Industrial Designs and Geographical Indications (SCT) with a view to reaching a final position (see paragraph 81 of the WIPO General Assembly Report, document WO/GA/28/7).
- 2. The SCT continued discussion of these issues at its ninth session. At this session, delegations supported the following (see paragraph 7 of the Summary by the Chair, document SCT/9/8):
- protection should be extended to the long and short names of countries, as provided by the United Nations Terminology Bulletin;

SCT/10/5 page 2

- (ii) the protection should be operative against the registration or use of a domain name which is identical or misleadingly similar to a country name, where the domain name holder has no right or legitimate interest in the name and the domain name is of a nature that is likely to mislead users into believing that there is an association between the domain name holder and the constitutional authorities of the country in question;
- (iii) each country name should be protected in the official language(s) of the country concerned and in the six official languages of the United Nations; and
- (iv) the protection should be extended to all future registrations of domain names in generic top-level domains (gTLDs).
- 3. The Delegations of Australia, Canada and the United States of America dissociated themselves from this decision. The Delegation of Japan stated that, while it did not oppose the decision to extend protection to country names in the DNS, further discussion was required concerning the legal basis for such protection, and stated its reservation to paragraph 7 herein, except for subparagraph (iv).
- 4. As reported in Circular No. 107 INT. of March 20, 2003, the International Bureau has transmitted the above recommendation on the protection of country names, together with the recommendation made by the WIPO General Assembly with regard to the protection of names and acronyms of international intergovernmental organizations (IGOs), to the Internet Corporation for Assigned Names and Numbers (ICANN). In the transmittal letter, the International Bureau has also informed ICANN of the continued discussion on three outstanding issues in the area of country names. At its meeting on March 12, 2003, the Board of Directors of ICANN requested the President of ICANN to inform the Governmental Advisory Committee, the Supporting Organizations, and the other Advisory Committees of ICANN of the WIPO recommendations and to invite them to provide comments by May 12, 2003.
- 5. At its meeting from March 23 to 25, 2003, the Governmental Advisory Committee (GAC) of ICANN adopted the following decision on the WIPO recommendations:
 - "4.1 GAC considered the WIPO communication to ICANN of February 21, 2003, and the ICANN request for Advice, March 12, 2003. GAC took note that the WIPO II recommendation to ICANN was based on a formal decision by Member States, resulting from more than two years' work in the official WIPO instances.
 - 4.2 GAC's Advice to ICANN is as below:
 - GAC endorses the WIPO II recommendations that the names and acronyms of IGOs and country names should be protected against abusive registration as domain names.
 - 2. GAC advises the ICANN Board to implement the WIPO II recommendations regarding the protection of the names of Inter-Governmental Organisations (IGO) and the protection of Country Names in the Domain Name System.

SCT/10/5 page 3

3. As the practical and technical aspects of extending this protection, and notably the implications for the UDRP, need to be fully understood, GAC proposes that a joint working group should be established in conjunction with other interested ICANN constituencies, in particular the gTLD and ccTLD communities."

Outstanding Issues

- 6. At its ninth session in November 2002, the SCT supported continued discussion on the following issues (see paragraph 8 of the Summary by the Chair, document SCT/9/8):
- (i) extension of protection to the names by which countries are familiarly or commonly known;
- (ii) retrospective application of the protection to existing registrations of domain names, and in which alleged rights may have been acquired; and
- (iii) the question of sovereign immunity of States before the courts of other countries in relation to proceedings relating to protection of country names in the DNS.

 $\label{lem:extension} \textit{Extension of Protection to Names by Which Countries Are Commonly or Familiarly Known}$

- A number of Member States have, throughout the discussion of this issue, supported extending protection also to names by which countries are commonly or familiarly known. At the second special session of the SCT in May 2002, it was agreed that countries should notify any such names to the Secretariat before September 30, 2002, (see paragraph 210 of document SCT/S2/8). After the WIPO General Assembly referred the question as to whether this deadline was to be extended to the SCT, the SCT agreed, at its ninth session, that any such additional names be notified to the Secretariat before December 31, 2002, (see paragraph 8 of the Summary by the Chair, document SCT/9/8). A cumulative list of all notifications received by the Secretariat to date is contained in the Annex.
- 8. The implementation of such protection may, however, give rise to a number of issues.
- 9. It will have to be determined whether the list of names should be finite, or whether it should be possible to notify additional names, or make amendments to existing notifications, at a later stage. Member States will note in this context that some of the notifications listed in the Annex were received after December 31, 2002. It will have to be decided whether names notified after this deadline should also benefit from protection.
- 10. Member States may also have to consider whether it should be left entirely to each country to determine, for the purpose of the protection at issue, by which names it is "commonly or familiarly known," or whether a mechanism should be established

SCT/10/5 page 4

that would allow other countries to object to individual notifications. In the latter case, the details of such mechanism as well as the effect of any objections will have to be determined.

- 11. As to the language of names, it is recalled that Member States have decided to restrict protection to the official language(s) of the country concerned as well as the six official languages of the United Nations. Delegations may wish to consider whether this limitation should also apply to names by which a country is commonly or familiarly known, or whether such names should also be protected in additional languages.
- 12. Some of the names that have been notified would, already under the current recommendation, enjoy protection as "misleadingly similar" variations of their official country name. The protection of such names would, therefore, not require an extension to names by which countries are commonly or familiarly known.

13. The SCT is invited to decide

- (i) whether protection should be extended to names by which countries are familiarly or commonly known; and, if so,
- (ii) whether it should be possible to notify additions or amendments at a later stage, and whether any notifications received after December 31, 2002, should benefit from such protection;
- (iii) whether it should be left entirely to each country to determine, for the purpose of the protection at issue, by which names it is "commonly or familiarly known," or whether there should be a mechanism allowing other countries to object to individual notifications.

Retrospective Application and Acquired Rights

14. The SCT has, so far, recommended protecting country names against abusive domain name registrations occurring after the recommended protection has been implemented. Extending protection retroactively might give rise to the question of how acquired rights should be treated. It should be noted, however, that the protection recommended by the SCT is limited to cases where the domain name holder has no right or legitimate interest in the disputed name. To that extent, acquired rights of domain name registrants would not seem to be affected.

SCT/10/5 page 5

15. The SCT is invited to decide whether protection of country names should be extended retroactively and, if so, whether there is a need to take specific account of acquired rights even though such protection would only apply where the domain name holder has no right or legitimate interest in the disputed name.

Relevance of Sovereign Immunity of States

- 16. Paragraph 4(k) of the UDRP recognizes that a losing domain name registrant can bring the dispute before a competent national court of justice. To this end, the complainant is required to submit, in the complaint, to the jurisdiction of the national courts either at the principal office of the registrar or at the domain name holder's address as shown in the relevant WHOIS database. A certain number of States, including Australia, Germany, New Zealand, Norway and Turkey, have already filed complaints under the UDRP and, in that context, submitted to the relevant UDRP provisions.
- 17. It is recalled that the SCT has recommended to respect the privileges and immunities enjoyed by IGOs in the implementation of protection for the names and acronyms of IGOs. Instead of submitting to the jurisdiction of national courts of justice, IGOs would therefore submit to a special appeal procedure by way of *de novo* arbitration. Some delegations have expressed a preference for establishing a similar mechanism for country names arguing that this would provide an efficient appeal mechanism for domain name registrants and at the same time respect the immunity of sovereign States. Other delegations were, however, in favor of retaining the procedure as currently provided under the UDRP.
 - 18. The SCT is invited to decide whether to recommend, in view of the immunities enjoyed by sovereign States, a special appeal mechanism by way of de novo arbitration.

[Annex follows]

SCT/10/5 ANNEX

List of commonly known country names for which protection is sought in the Domain Name System as notified to the Secretariat

AS OF MARCH 30, 2003

| Country | Names | Date on which the notification was received |
|----------|-------------------------------------|---|
| Czech | Česká republika | January 8, 2003 |
| Republic | Česko | January 8, 2003 |
| - | Czech Republic/The/ | |
| | Czech/The/ | |
| | Czechlands/The/ | |
| | la République tchèque | |
| | La Tchéqui | |
| | República Checa | |
| | Chequia | |
| | | |
| | Tschechische Republik/Die/ | |
| | | |
| | Bohemia CZ | |
| Estonia | | |
| | Eesti Vabariik | January 7, 2003 |
| Holy See | Holy See (the) | June 28, 2002 |
| | Santa Sede (la) | |
| | Saint-Siège (le) | |
| | Stato della Città del Vaticano (lo) | |
| | Vatican City State (the) | |
| | État de la Cité du Vatican (l') | |
| | Estado de la Ciudad del Vaticano | |
| | (el) | |
| | Vatican (the) | |
| | le Vatican | |
| | VAT | |
| | VA | |
| Hungary | Magyar Köztársaság | December 19, 2002 |
| | Magyarország | , 2002 |
| | Hungária | |
| | Republic of Hungary (the) | |
| | Hungary | |
| | Ungarische Republik (die) | |
| | Ungarn | |
| | République hongroise (la) | |
| | Hongrie | |
| | República Hungara (la) | |
| | Hungria | |
| [exico | Estados Unidos Mexicanos | Fulv. 12, 2002 |
| | República Mexicana | July 12, 2002 |
| | México | |

SCT/10/5 ANNEX, page 2

| Netherlands | Nederland | |
|----------------|---------------------------|-----------------|
| TTOLLICITATION | | July 15, 2002 |
| | Netherlands (the) | |
| | Pays-bas (les) | |
| | Paises bajos (los) | |
| | Holland | |
| | Hollande | |
| | Holanda | |
| 37 77 1 | Niederlande (die) | |
| New Zealand | Aotearoa | August 28, 2002 |
| | Aoteoroa | 3 1 3, 2 3 |
| | New Zealand | |
| | New Zeeland | |
| | NewZealand | |
| | New-Zealand | |
| | New_Zealand | |
| | New.Zealand | |
| Portugal | Portugal | July 1, 2002 |
| | República Portuguesa | , 2002 |
| | República de Portugal | |
| Republic of | Korea | January 7, 2003 |
| Korea | South Korea | 7, 2005 |
| | S-Korea, S_Korea, S Korea | |
| | ROK, KOR | |
| | Hankook, Daehanminkook | |
| | Corée | |
| | Сотеа | |
| | 韓國 | |
| | 大韓民國 | |
| Russian | Russian Federation (the) | August 6, 2002 |
| Federation | Russia | August 6, 2002 |
| | | |

SCT/10/5 ANNEX, page 3

| Switzerland | Schweiz | N |
|--------------|----------------------------------|------------------|
| DWIZCHAMG | Suisse | November 6, 2002 |
| | Svizzera | |
| | Svizra | |
| | Switzerland | |
| | Suiza | |
| | | |
| | Helvetien | |
| | Helvétie | |
| | Elvezia | |
| | Helvetia | |
| | Helvecia | |
| | Schweizerische Eidgenossenschaft | |
| | Schweizer Eidgenossenschaft | |
| | Confédération suisse | |
| | Confederazione svizzera | |
| | Confederaziun svizra | |
| | Swiss Confederation | |
| | Confederación Suiza | |
| | Helvetische Eidgenossenschaft | |
| | Confédération helvétique | |
| | Confederazione elvetica | |
| | Confederaziun helvetica | |
| | Confoederatio helvetica | |
| | Elvetic Confederation | |
| | Helvetian Confederation | |
| | Confederación helvecia | |
| | Bund | |
| | Confédération | |
| | Confederazione | |
| | Confederazione | |
| | Confederation | |
| | Confederación | |
| | CH | |
| | CHE | |
| Thailand | SIAM | Lula: 11, 2002 |
| The former | Република Македонија | July 11, 2002 |
| Yugoslav | Republika Makedonija | January 6, 2003 |
| Republic of | Македонија Македонија | |
| Macedonia | МК | |
| 111400401114 | | |
| | Republic of Macedonia | |
| | République de Macédoine | |
| | Republica de Macedonia | |
| | Республика Македония | |
| | | |

[End of Annex and of document]